

Conisdue d 9/7/2000

PATENT 600-1-211N

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**APPLICANT** 

Ali Hemmati-Brivanlou

SERIAL NO.

09/318,443

**EXAMINER:** 

J. Satish

**FILED** 

May 25, 1999

ART UNIT

1645

**FOR** 

TRANSLATION INITIATION FACTOR 4AIII AND

METHODS OF USE THEREOF

## **CERTIFICATE OF MAILING UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231 on 6/22/00

Michael D. Davis, Reg. No. 39,161 (Name of Registered Representative)

Signature and Date)

## DECLARATION PURSUANT TO 37 C.F.R. §1.132 OF ALI HEMMATI-BRIVANLOU,PH.D.

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

- I, Ali Hemmati-Brivanlou, Ph.D., do hereby declare as follows:
- [1] That my address is:

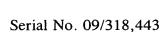
430 East 63rd Street, Apt. 12H

New York, New York 10021

[2] That I am a co-inventor of the subject application along with Daniel C.

Weinstein;

[3] That I am a coauthor, along with Daniel C. Weinstein and Eric Honore, of the Journal Article, entitled "Epidermal induction and inhibition of neural fate by translation initiation factor 4AIII" [Weinstein *et al.*, *Development* 124(21):4235-42 (1997)] cited by the Examiner of the above-cited application in the March 15, 2000 Official Action.





- [4] That coauthor, Eric Honore, was not involved in the conception of the present invention, but rather was under my control and supervision and therefore, was properly not named as a co-inventor of the present invention; and
- [5] That the article entitled "Epidermal induction and inhibition of neural fate by translation initiation factor 4AIII" [Weinstein et al., Development 124(21):4235-42 (1997)] cited by the Examiner was therefore not a publication or a public disclosure by "another" under 35 U.S.C. §102(a).
- [6] I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United Stated Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

19 June 2000

Date

Ali Hemmati-Brivanlou, Ph.D.